



**USAID**  
FROM THE AMERICAN PEOPLE

Name & Title: \_\_\_\_\_

Mission \_\_\_\_\_

E-mail: \_\_\_\_\_

Tel: \_\_\_\_\_

Date: \_\_\_\_\_

**Operating Unit & Mission Self-Assessment of Capacity  
in  
Environmentally Sound Design and Management (ESDM)**

1. How many of your Mission SO Team members (incl. CTOs, Procurement, Program staff) participate actively in the application of ADS 201 (Environmental Planning & Analysis)?  
Circle your estimate: (0-1) (2-3) (4-5) (6-7) (8-9) (>10)  
Comment: \_\_\_\_\_

2. How many of your Mission SO Team members participate actively in the application of ADS 204 (Environmental Procedures)?  
Circle your estimate: (0-1) (2-3) (4-5) (6-7) (8-9) (>10)  
Comment: \_\_\_\_\_

3. Does your Mission have in place means to ensure IEE and EA conditionality for environmental mitigation and monitoring is followed? Yes \_\_\_ No\_\_\_ Don't Know\_\_\_

4. How many hours of your time per month do you estimate are devoted to follow-up to ensure implementation of IEE or EA mitigation & monitoring plans?  
Circle your estimate: (0-1hr) (2-3hr) (4-5hr) (6-7hr) (8-9hr) Other \_\_\_\_\_  
Comment: \_\_\_\_\_

5. How many hours of other Mission staff time per month do you estimate are devoted to follow-up to ensure implementation of IEE or EA mitigation & monitoring plans?  
Circle your estimate: (0-1hr) (2-3hr) (4-5hr) (6-7hr) (8-9hr) Other \_\_\_\_\_  
Comment: \_\_\_\_\_

From your annual report on compliance (ADS 205.5.3) for your Missions SOs and project activities are you able to estimate what percent of your SOs and project activities are in compliance with USAID Environmental Procedures?  
Circle your estimate: (100-90%) (89-80%) (79-70%) (69-60%) (59-50%) Other \_\_\_\_\_

6. Has your Mission prepared an FAA 118-119 Environmental Analysis (ETOA in AFR) to support strategic planning within the last 5 years? Yes \_\_\_ No\_\_\_ Don't Know\_\_\_  
If so, did the analysis examine the long-term sustainability of the Mission Strategy and Program Portfolio? Yes \_\_\_ No\_\_\_ Don't Know\_\_\_  
Comment: \_\_\_\_\_

7. What suggestions do you have for enhancing Mission capacity in environmental management and program sustainability?
8. What proportion of the Mission's implementing partners do you believe are well versed in, and effectively applying, their Reg 216 obligations and environmental management considerations?  
Circle your estimate: (100-90%) (89-80%) (79-70%) (69-60%) (59-50%) Other \_\_\_\_\_
9. Do you anticipate drawing upon available ANE support services in the next year for (*Check where appropriate*):

Environmental Management Capacity Building

- \_\_\_\_\_ Training of Mission staff  
 \_\_\_\_\_ Training of Mission Implementing Partners  
 \_\_\_\_\_ Training in Sectoral Best Practice (e.g., roads, water and sanitation, pesticides/IPM, small scale irrigation, cleaner production for MSEs, etc.) \_\_\_\_\_  
 Other: \_\_\_\_\_

Assistance Producing Mandatory USAID Environmental Compliance Documentation

- \_\_\_\_\_ Assistance with IEEs, EAs, PEAs, etc.  
 \_\_\_\_\_ Assistance with technical analyses, e.g., Pesticide Evaluations (PERSUAP)  
 \_\_\_\_\_ Assistance in drafting environmental analyses for strategic planning, such as FAA 118-119 Environmental Analyses or Threats and Opportunities Assessments (ETOs);  
 \_\_\_\_\_ Assist missions to draft environmental compliance sections of Annual Reports,  
 \_\_\_\_\_ Other:

Program and Project Sustainable Design and Implementation

- \_\_\_\_\_ Assist in building environmentally sound design principles into contract mechanisms and program design;  
 \_\_\_\_\_ T.A. and consultations to mainstream environmental considerations into USAID regional and bilateral programs in areas such as: trade and environment, sustainable enterprise development, cleaner production, environmental certification, etc.  
 \_\_\_\_\_ Other:

10. Would your Mission be prepared to share costs with Bureau or partners for these services?  
 \_\_\_\_\_ Yes      \_\_\_\_\_ No      \_\_\_\_\_ Potentially
11. Estimated annual Mission contribution per year?                      \$ \_\_\_\_\_
12. Do you think your Mission would commit to providing an annual budget for locally-based environmentally sound design and management (ESDM) services to strengthen the sustainability of the Mission's program? Yes \_\_\_\_ No \_\_\_\_  
 Comment: \_\_\_\_\_
13. Do you think your Mission would commit to providing continuous in-Mission capacity in ESDM by ensuring that at least one member of staff direct hire, FSN, resident PSC and/or consultant is fully versed in environmental & natural resource management?  
 Yes \_\_\_\_ No \_\_\_\_ Comment: \_\_\_\_\_