

Part VII: USAID/Ethiopia FY 2001 Environmental Compliance: Status & Plans

Component 1: Plan for new or amended Initial Environmental Examination (IEE) or Environmental Assessment (EA) actions for coming year.

New: Planned Reg 216 actions are listed in FY 2002 column in the Table below. AID/W approved the Mission's Integrated Strategic Plan for the period 2001-2006, which led to a suite of 20 new or amended IEEs in FY 2001 (see below).

The Mission is currently reviewing two ES/RFs submitted by PACT for activities falling under Category II in the Chereti Household Food Security Project and Awassa HIV/AIDS project. The ES/RFs will be submitted to the EA REO in March 2002 for clearance.

REST has submitted a request for an IEE amendment due to extension of its program, which was supposed to end in September 2001, by one year. Mission is currently working on the review of the amended IEE for submission to the EA REO and the BEO in March 2002 for ultimate clearance and final approval, respectively.

Cooperating Sponsors (CSs) have submitted their proposals for DA funding, and they are currently being reviewed. Once our internal review is completed CSs will submit IEEs for those projects approved by the Mission in late March. CSs are also currently engaged in the preparation of proposals for the next cycle DAP (February 28 deadline) and we expect IEEs to be submitted together with the DAP proposals. After reviewing the CS IEEs for both DA funded and DAP activities, the Mission will submit them to the respective BEOs in April 2002.

One new IEE will be required from the Tigray Development Association for the internally displaced program under BESO II – SO 9, because it includes DA funds.

The Mission is also designing a pilot Relief-to-Development activity combining Title II support with development assistance funding under the RHPP SO, and expects to submit an IEE for this activity to the respective BEOs in May 2002.

Component 2: Compliance with previously approved IEEs or EAs. All Mission activities are presently in compliance with their approved IEEs, as reflected in the FY 2001, FY 2002 and Comments columns below. Based on AID/W approval of the Mission's Integrated Strategic Plan for the period 2001-2006, the Mission submitted to, and received approval from the Africa Bureau Environmental Officer (AFR BEO) for five SO-level IEEs (ESHE II - SO 8, BESO II - SO 9, DG - SO 10, STI - SpO 12, and RHPP – SO 7); and four independent Internally Displaced Person (IDP) Activity IEEs. In addition, one SO-level IEE (MED - SO 11) was approved by both the Bureau for Humanitarian Response Environmental Officer (BHR BEO) and the AFR BEO because it contains both Title II and DA funding. This IEE also effectively amended all existing

Title II partner IEE's to include Mission approval authority for road construction and small-scale irrigation activities less than 50 hectares in size.

New STI IEEs for the Save the Children – U.S. (SCF/US) Title II Animal Health Care activity, a new Pastoralist Education activity, and Community Capacity Building activities were also approved by AFR BEO in July 2001.

IEEs prepared for four DA funded Internally Displaced Persons (IDP) activities managed by the Relief Society of Tigray (REST), Volunteers in Overseas Cooperative Assistance (VOCA), Catholic Relief Service (CRS) and COOPI were approved by the AFR BEO in March/April, 2001 (activities include DA and emergency resources.)

Title II IEE status:

WVI and FHI, whose original Title II IEEs were approved on 27 August 1998 and 27 July 1998, respectively, submitted IEE amendments to include the implementation of small-scale irrigation activities deferred in the original IEEs. The BHR BEO approved these amended IEEs on 23 April 2001 and 4 December 2001.

The original Title II SCF/US IEE was approved in May 1998. SCF/US planned to submit an amendment to the IEE last year to include full-scale brush clearing activities based on pilot research activities. This IEE amendment was submitted on February 4, 2002 and the Mission, in consultation with the East Africa Regional Environmental Officer (EA REO), is currently reviewing the document. We expect to forward it to the DCHA BEO for approval in April 2002.

The original Title II Africare IEE was approved in August 3, 1998. Africare submitted an ES/RF on February 1, 2002 to include road construction activities in the Gambella National Regional State, and the Mission is currently reviewing the ES/RF for approval.

The original RHPP SO [now SO 7] (IEE 29ethop2.iee) was approved on 9/23/99, allowing for the development of results packages under the five IRs. Four sector assessments were conducted and a SOAG signed between USAID/Ethiopia and the Government of Ethiopia on August 13, 2001. An SO level umbrella IEE was developed and approved by the AFR BEO, following co-drafting and clearance from the REDSO REO, on August 13, 2001.

It should also be noted that the Mission sponsored the 20th Regional Environmental Assessment and Management workshop (a refresher course, following up on the February 1997 ENCAP workshop) in Nazret, Ethiopia from February 18-22, 2002 to update and expand local partner capacity to apply environmentally sound design principles to IEE and EIA preparation. Tellus Institute did course preplanning in October 2002 with financial support under ENCAP. REDSO's REA was a trainer. A total of 37 participants attended, mainly from Title II partner PVOs and NGOs, as well as regional

government offices, Addis Ababa University, the Ethiopian Environmental Protection Authority, and other USAID missions in Africa participated in the training.

In addition, as part of its efforts to enhance the environmental assessment and planning capacity in Ethiopia, the Mission is providing support for the Ethiopian State of Environmental Reporting Activity. This is being implemented by the Ethiopian Environmental Protection Authority (EPA) and the report, the first of its kind for Ethiopia, is expected to be completed by the end of December 2002.

Also, a series of activities is planned to promote Sectoral Best Practice & Environmentally Sound Design for USAID/Ethiopia SO 7 and SO 11 partners, which will include familiarization of partners on the findings and recommendations of USAID/E WATSAN Study and the development of the USAID/E WATSAN Procedural Checklist, Programmatic Environmental Assessments of Road Building, Watershed Management and Bush Clearing in Ethiopia, including respective workshops on the findings. Also, an environmental analysis will be done in connection with the design of a new Integrated Strategic Plan (ADS 201.3.4.11 b. Requirements)

USAID/Ethiopia FY 2001-02 Environmental Review Status & Plans

ASSISTANCE ACTIVITIES	FY 2001 and previous	FY 2002	Comments
Design of new ISP		Environmental Analysis per ADS 201.3.4.11 b planned launch 4 th Q FY 2002	
SO 7: Increased availability of selected domestically produced food grains [RHPP SO (incorporates previous SO1)]			
663-0005 Development of Competitive Markets. Project LOP FY 2000. 28ethiop2.iew	\$1,600,000 was programmed for the National Agricultural Census and are covered under existing IEE.	Ongoing activities and unspent funds were incorporated by reference into the RHPP SOAG 663-007 to support SO implementation.	The National Agricultural Census activity is an integral part of the RHPP SO IR 1.
663-0019 Resources for Development of Agriculture – Umbrella. LOP 06		Ongoing activities and unspent funds were incorporated by reference into the RHPP SOAG 663-007 to support SO implementation.	
* WINROCK EMPOWER Cooperative Agreement. IEE approved 5/97. LOP 03	Proposed use of pesticides dropped. PACD was extended to 12/31/2003.	No new actions.	Monitoring of all activities will continue.
* VOCA Agricultural Retailers Training Cooperative Agreement. IEE approved 9/96. LOP 02	Activity extended 3 yrs. IEE reviewed and BEO approved recommendation that no IEE amendment was required since activities remain the same and IEE findings are being implemented.	condition: Safer Pesticide Handling and Use training being carried out?	Monitoring of all activities will continue.
* VOCA Agricultural Cooperatives in Ethiopia Cooperative Agreement. IEE approved 5/99. LOP 04		Mission plans to increase funding to include an HIV/AIDS activity. Therefore, VOCA will submit an IEE amendment on April 2002.	
Pilot Relief to Development (R2D) Activity		By 3 rd Q FT 02, an IEE for this activity will be prepared as part of activity design, and submitted for both DCHA BEO and AFR BEO approval.	Combines Title II food aid and RHPP SO DA to mitigate drought and address the root causes of food insecurity in two pilot woredas (Sekota and Gubalafto).
663-007 Rural Household Production and Productivity Increased Strategic Objective (RHPP SO). 29ethop2.iew. LOP 06	All assessments and activity design completed, and SOAG signed 13 August 01. Umbrella IEE 31 Ethiopia13 RHPP (SO7) submitted to and approved by the AFR BEO on 13 August 2001 for 5 IR-level activities under this SO.	Individual IEEs for Title II Cooperating Sponsor DA-funded activities will be submitted to the DCHA BEO for approval (optional AFR BEO clearance).	Monitoring of all activities will continue.
SO 8: Increased Use of Primary and Preventive Health Care Services [ESHE II (incorporates previous SO 2)]			
663-0016/17 ESHE II. 2001 amended IEE date: May 16,2001 replaces LOP: 02	SO IEE 31 Ethiopia10 ESHE II (CEs and Neg. Determinations) cleared by REO on 27 April 2001 and approved by AFR BEO on 16 May 2001.	An IEE amendment will be on April 2002 for cross border AIDS surveillance activities implemented by the Center for Disease Control (CDC).	Activity will take place in the Djibouti Corridor.
SO 9: Quality and Equity Improved in and Expanded System of Primary Education [BESO II (incorporates previous SO 3)]			
663-0014 BESO II. Amended IEE	SO IEE 31hiopia8 BESO (CEs and Neg.	TDA was given \$200k for rehabilitation on war-	An environmental screening process will be

4/01supercedes original IEE 4/95. LOP 01	Determinations) cleared by REO on 23 April 2001 and approved by AFR BEO on 26 April 2001	damaged schools in Tigray. Conditions will be placed on activities near potentially mined areas and covered in a new IDP-like IEE to be expected by the BEO in March 01.	instituted for World Learning Incorporated (WLI) and Regional Incentive Fund (RIF) programs to ensure compliance on important activities that might deviate from the approved IEE.
SO 10: Increased Access to and Participation in a Democratic Society [DG (incorporates previous SO 4)]			
663-0020-G-00-5501 Ethiopian NGO Sector Enhancement Initiative (Grant to PACT). Original IEE 5/95 (22ethop4.iee) amended (28.ethop1.iee). LOP 03	CE for TA, training, education, institutional strengthening, regional communications, info. exchange activities per 31 Ethiopia6 DGSO, April 26,2001. NDWC for community development activities and (small activity grants) SAGs. IEE amended in 1998 to include environmental screening process for SAG component. PACT ERF to include Small Scale Irrigation (SSI), livestock vaccination and HIV/AIDS activities is under review.	Mission will submit the ES/RFs to the REO in March 2002 for additional clearance. No activity changes, which would require IEE review, amendment or have impact on the environment, are anticipated.	
663-0007 Democracy and Governance Support Project. Original IEE 3/92	IEE approved CE for entire project.	No activity changes which would require IEE review, amendment or have impact on the environment are anticipated.	
SO 11: Enhanced Household Food Security in Target Areas [MED SO (incorporates previous SpO 1)]			
663-0021 SERA project. LOP 9/00	CE for TA, training, studies was approved 6/97.	The workshop on potable water activities was planned for August 2001 based on the recommendations of the March 2000 water and sanitation study. The workshop objective was to agree with CSs on a procedural checklist for acceptable Water Supply and Sanitation (WSS) activities, including reporting requirements. The workshop was postponed due to an emergency health problem for the Team Leader, and is expected to be held in August 2002.	ENCAP workshop for Title II partners held for 40 participants in February 2002.
Title II Development Program	IEEs approved for all 8 CSs (REST, FHI, CRS, CARE, SCF-US, WV, EOC and Africare) in FY 1998. All CSs have submitted FY 2002 ESRs, which were approved.	All CSs will submit separate ESRs and analyses for road construction prior to beginning new activities. A PEA may be called for. The review and approval of SCF/US request for amended IEE for FY 2002 bush clearing activity will be finalized in April 2002 All FY 2002 IEE amendments, IEEs for the next cycle DAP, or new DA fund activities will be forwarded to the DCHA BEA and AFR BEO in April 2002.	REO assistance is needed in March/April 2002 to help review the IEEs for the new Title and DA proposals; and to undertake field and desk level review and clearance for the SCF/US bush clearing activity. Africare submitted an ES/RF on 1 February 2002 to include road construction activities. The Mission is currently reviewing the ES/RF for approval. REST will complete and submit ES/RFs for road sections to be constructed in CY 2001

			in March 2002. Monitoring of all activities will continue.
Internally Displaced Persons (IDPs)	18-month duration DA funded activities implemented by REST, CRS, VOCA and COOPI. Four IEEs approved by REO and BEO in March/April 2001, e.g. <u>31 Ethiopia VOCA MED SO IDP, 4/6/01 & 31 Ethiopia TPWRP MED SO IDP, 4/4/01.</u>	No new actions anticipated. Mission expects receiving reports on the status of ND/w condition and Deferrals on April 2002.	Monitoring of all activities will continue.
Spo 12: Improved livelihoods for pastoralists and agro-pastoralists in southern Ethiopia [BESO II (incorporates previous SO 3)]			
SO-level IEE approved 2001	STI SpO IEE April 26, 2001; 31 Ethiopia7STISpO; CE & ND		
Animal Health Care (SCF/US)	Previously funded under Title II. IEE approved by AFR BEO on July 2,2001; 31 Ethiopia11SpO12 Animal Health; CE, ND & Deferral	To be continued w/ DA funding.	Monitoring of all activities will continue.
Pastoralist Education. (SCF/US)	New activity. IEE approved by AFR BEO on July 5,2001; 31 Ethiopia9 SCF Pastoralist.iee; CE & ND/w condition	Funded through EDDI	Monitoring of all activities will continue.
Community Capacity Building (SCF/US)	Previous Conflict Resolution. IEE approved by AFR BEO on July 2,2001, superceded 23 JAN 92 ADDIS 00367 covering DG program		Monitoring of all activities will continue.
Animal Health Care Assessment			Monitoring of all activities will continue
Livestock Marketing/Coop. Development	Covered under STI SpO IEE April 26, 2001; 31 Ethiopia7STISpO; and VOCA IEE 27ethop3.iee		Monitoring of all activities will continue